Preliminary Results of a Phase 2 Clinical Study Evaluating the Anti-Tumor Activity and Safety of CS1001 Monotherapy in Patients with Relapsed or Refractory Extranodal Natural Killer/T Cell Lymphoma (rr-ENKTL)

anti-CTLA-4 monoclonal antibody



HUANG, Huiqiang¹; TAO, Rong²; ZOU, Liqun³; GUO, Ye⁴; ZHOU, Hui⁵; ZHANG, Iiling⁶; HUANG, Yunhong⊓; QIAN, Wenbin⁰; YANG, Yunhong¹; SHI, Qingmei¹²; YANG, Jianxin¹²

1. Department of Medical Oncology, Sun Yat-Sen University Cancer Center, Guangzhou, China; 3. Department of Medical Oncology, Shanghai Jiao Tong University School of Medical Oncology, Shanghai Jiao Tong University School of Medical Oncology, Shanghai East Hospital of Sichuan University School of Medical Oncology, Shanghai East Hospital Oncology, Shanghai East H Medicine, Shanghai, China; 5. Department of Lymphoma, The Affiliated Cancer Hospital, China; 6. Cancer Hospital, China; 6. Cancer Hospital, China; 8. Division of Hematology, First Affiliated Hospital, College of Medicine, Zhejiang University, Hangzhou, China; 9. Department of Chemotherapy, Guangxi Medical University Affiliated Tumor Hospital & Oncology Medical College, Nanning, China; 10. Department of Lymphoma, Fujian Provincial Cancer Hospital, Fuzhou, China; 11. Department of Lymphoma, China; 10. Department of Lymphoma, Fujian Medical University Cancer Hospital & Fujian Provincial Cancer Hospital & Fujian Medical University Cancer Hospital & Fujian Provincial Cancer Hospital & Fujian Medical University Cancer Hospital & Fujian Provincial Cancer Hospital & Fujian Provincial Cancer Hospital & Fujian Medical University Cancer Hospital & Fujian Provincial & Fu

INTRODUCTION

- Extranodal natural killer/T cell lymphoma (ENKTL) is a rare disease which shows a geographic predilection for Asian and South American populations, it consists of 3-10% of non-Hodgkin lymphoma, whereas less than 1% in Western countries¹
- Current standard of care is asparaginase-based regimen. Patients failing asparaginase-based regimen have no known salvage therapy and are almost invariably fatal, with median overall survival of only several months²
- For ENKTL patient, complete response (CR) of meaningful magnitude and duration is associated with longer overall survival (OS)^{3,4}. Current approved targeted therapy has a CR rate of less than 10%^{5,6}
- Epstein-Barr virus (EBV) infection is one of the mechanisms and characteristics of ENKTL, which induces immune tolerance of tumor by upgrading PD-L1 expression in tumor cells. Blocking the PD-1/PD-L1 pathway could, therefore, be an effective treatment for ENKTL
- CS1001 is the first full-length, fully human programmed death ligand-1 (PD-L1) targeted immunoglobin G4 (IgG4, s228p) monoclonal antibody (mAb)
- Here, we report the safety and efficacy data from the ongoing Phase 2 trial of patients with relapsed or refractory ENKTL (rr-ENKTL)

STUDY DESIGN

Primary Objective:

 To evaluate the efficacy of CS1001 monotherapy in rr-ENKTL as measured by objective response rate (ORR) evaluated by independent radiological review committee (IRRC)

Secondary Objectives:

- To evaluate the efficacy of CS1001 monotherapy in rr-ENKTL as measured by investigator evaluated ORR; OS, progression-free survival (PFS), etc
- To evaluate the safety of CS1001 monotherapy in rr-ENKTL
- To evaluate PK of CS1001
- To evaluate the immunogenicity of CS1001

Figure 1. Study Design

Screening		Treatment Phase			Follow-up
Study Population		Study Treatment		Treatment Discontinuation Reason	
Relapsed or refractory		CS1001 1200 mg,)	 Radiological progression 	Safety visitSurvival follow-up
ENKTL patients		IV, Q3W, 24 months		• Reasons other than radiological progression	 Safety visit Survival follow-up Radiology follow-up

ENKTL: extranodal natural killer/ T cell lymphoma; IV: intravenous; Q3W: once every 3 weeks

Tumor Assessments:

- According to Lugano 2014 by IRRC and investigators respectively
- Enhanced Computed Tomography (CT): at screening and every 12 weeks after first dose of CS1001;
- Positron Emission Tomography (PET)/CT: at screening and at Weeks 12 and 24; and every 12 weeks thereafter for patients without measurable lesion;
- Bone marrow aspiration/biopsy: screening and when achieving radiological

Table 1. Key Eligibility

marrow function

Key Exclusion Criteria Key Inclusion Criteria • Age 18-75 Invasive natural killer leukemia Histologically confirmed ENKTL at study Concomitant with hemophagocytic Relapsed or refractory ENKTL after prior Immunosuppressive therapy within 14 days asparaginase-based chemotherapy or prior to the first dose of CS1001 Prior chemotherapy, immune therapy, chemo radiotherapy biological therapy as systemic treatment for ECOG PS of 0 or 1 • At least one evaluable or measurable cancer, within 28 days prior to the first dose lesion per Lugano 2014 classification of CS1001 Adequate organ function and bone Prior therapy with anti-PD-1, anti-PD-L1 or

CTLA-4: cytotoxic T lymphocyte-associated antigen-4; ECOG PS: Eastern Cooperative Oncology Group performance status; ENKTL: extranodal natural killer/ T cell lymphoma; PD-1: programmed death-1; PD-L1: programmed death ligand-1

RESULTS

Patient Characteristics

- As of October 08, 2019, 32 patients were enrolled and treated with CS1001
- 13 (40.6%) patients' treatment is ongoing, with 19 (59.4%) patients discontinued from CS1001 treatment
- Most treatment discontinuation (12 patients, 37.5%) was due to radiographic disease progression, 4 (12.5%) were due to AE, 3 (9.4%) were due to symptomatic deterioration without radiographic evidence

Table 2. Demographics and Baseline Characteristics (Safety Analysis Set)

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Patient Characteristics	Total N=32	
Age (years), Median (range)		47.0 (30, 74)
Sex, n (%)	Male	19 (59.4)
	Female	13 (40.6)
ECOG PS, n (%)	0	9 (28.1)
	1	23 (71.9)
Prior systemic therapy, n (%)	1 line	16 (50.0)
	2 lines	9 (28.1)
	≥3 lines	7 (21.9)
Stage of rr-ENKTL at screening, n (%)	Stage I	2 (6.3)
	Stage II	4 (12.5)
	Stage IV	24 (75.0)
	Missing	2 (6.3)
Plasma EBV DNA at screening, n (%)	Negative	14 (43.8)
	Positive	17 (53.1)
	Missing	1 (3.1)

DNA: deoxyribonucleic acid; EBV: Epstein-Barr virus; ECOG PS: Eastern Cooperative Oncology Group performance status; rr-ENKTL: relapsed or refractory extranodal natural killer/ T cell lymphoma

Efficacy Results

Table 3. Summary of Investigator-Assessed Objective Response and Overall Survival (Efficacy Analysis Set)

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Best Overall Response	N=30*
Complete Response (CR), n (%)	10 (33.3)
(95% CI)	(17.3%, 52.8%)
Partial Response (PR), n (%)	3 (10.0)
Stable Disease (SD), n (%)	0
Progressive Disease (PD), n (%)	11 (36.7)
NA**, n (%)	6 (20.0)
ORR (CR+PR), n (%)	13 (43.3)
(95% CI)	(25.5%, 62.6%)
Disease Control Rate (DCR=CR+PR+SD), n (%)	13 (43.3)
Duration of Response (DoR, Months), Median (Range)	NR (0.03+ to 10.91+)
1-year OS rate (95% CI)***	72.4% (52.0%, 85.2%)
CI: confidence interval; NA: not applicable; ORR: overall res	ponse; OS: overall survival; NR: not

reached *2 ongoing patients have not reached first post-baseline tumor assessment time, therefore not included in the response analysis

**6 discontinued patients did not have any tumor assessment post-baseline and were regarded as non-responders (NA in this table)

***Safety analysis set (n=32) was applied to analyze OS rate

Figure 2. Duration of Treatment and Best Overall Response and Progression— **Investigator Assessment (Efficacy Analysis Set)***

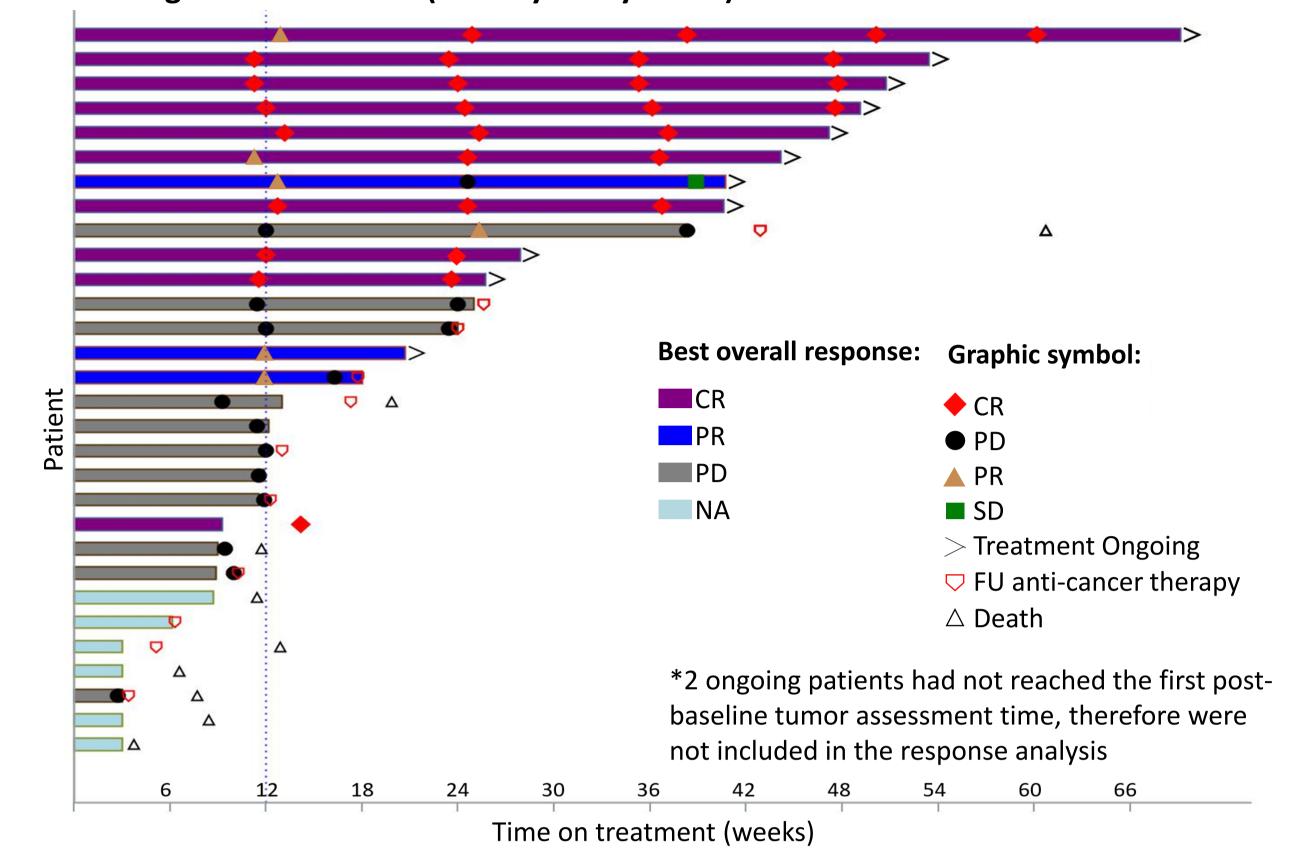
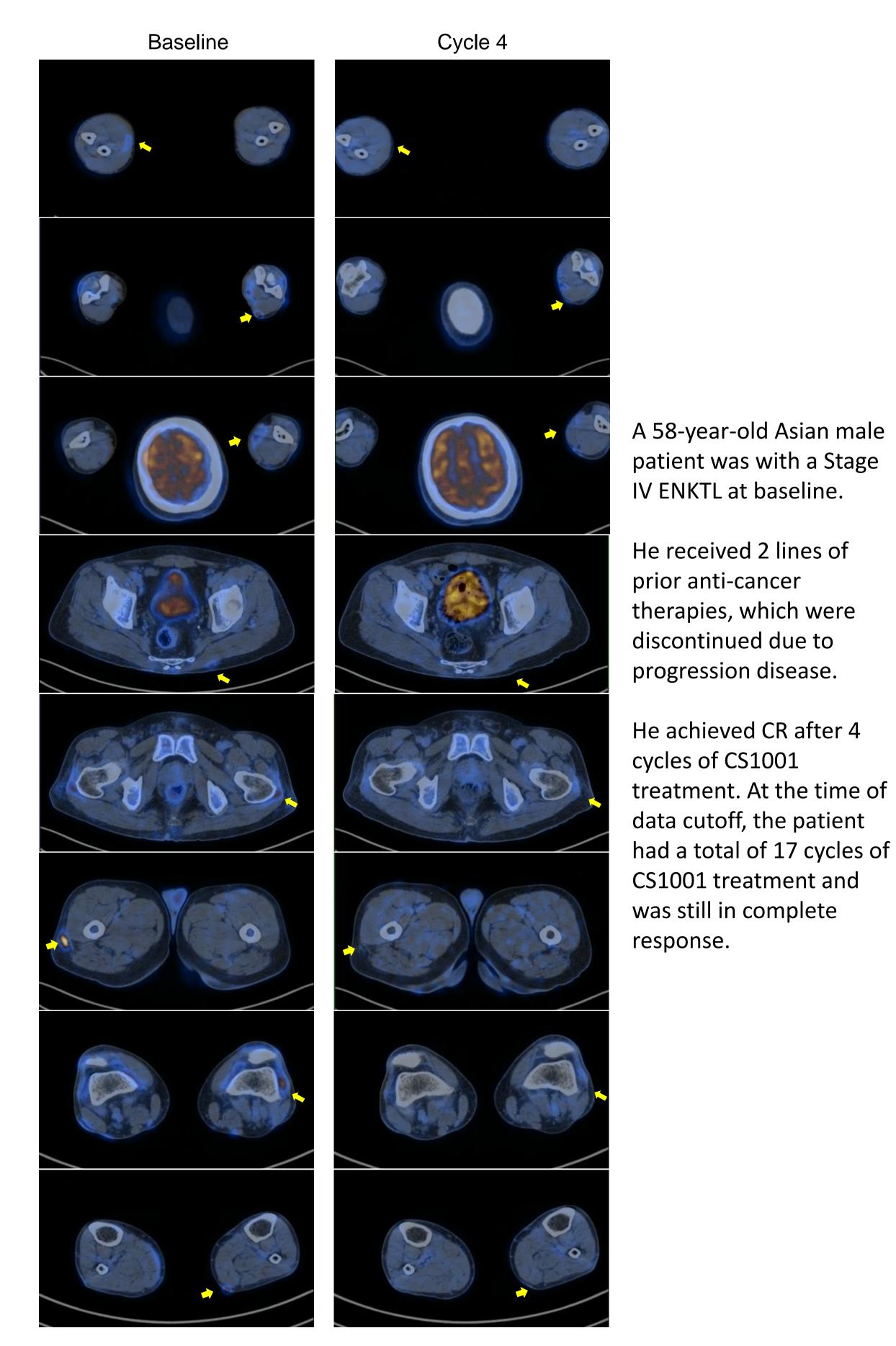


Figure 3. PET/CT Image for a Patient with ENKTL



Safety Results

- As of October 08, 2019, median duration of CS1001 treatment was 12.6 (range, 3.0-69.1) weeks
- 30 (93.8%) out of 32 patients reported treatment-emergent adverse events (TEAEs). The most common TEAE was pyrexia (40.6%). 3 patients had Grade 5 AEs, which were not related to CS1001
- A total of 24 (75.0%) patients reported CS1001-related AEs (TRAEs), and the most frequently reported TRAE was pyrexia (21.9%) (**Table 5**). 3 (9.4%) patients reported Grade 3/4 TRAEs
- 7 (21.9%) patients reported serious TEAEs (SAEs), 2 SAEs (Grade 4 sinus node dysfunction and Grade 1 myositis) were considered as CS1001-related, and both have resolved
- 5 (15.6%) patients had immune-related AEs (irAEs), with only one Grade 3 rash reported, the rest were of Grade 1

Table 4. Summary of Treatment-Emergent Adverse Events (Safety Analysis Set)

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	N=32	
	n (%)	
Number of patients with at least one		
TEAE	30 (93.8)	
CS1001-related TEAE	24 (75.0)	
Grade 3-5 TEAE	9 (28.1)	
CS1001-related Grade 3-5 TEAE	3 (9.4)	
SAE	7 (21.9)	
CS1001-related SAE	2 (6.3)	
TEAE leading to CS1001 discontinuation	4 (12.5)	
CS1001-related TEAE leading to CS1001 discontinuation	2 (6.3)	
Immune-related TEAE	5 (15.6)	
TEAE leading to death	3 (9.4)*	
Infusion-related reaction	1 (3.1)	
TEAE: treatment-emergent adverse event; SAE: serious adverse e	vent	
* Not related to CS1001		

Table 5. Treatment-Related Adverse Events (Safety Analysis Set)

MedDRA Preferred Term	All Grade, Incidence Rate ≥ 10% N=32, n (%)	
Pyrexia	7 (21.9)	
White blood cell count decreased	5 (15.6)	
Blood thyroid stimulating hormone increased	4 (12.5)	
Rash	4 (12.5)	

MedDRA=Medical Dictionary for Regulatory Activities

CONCLUSION

- CS1001 demonstrated encouraging anti-tumor activity in rr-ENKTL, with a CR rate of 33.3% and an ORR of 43.3%, as assessed by the investigators. The response is durable with median duration of response not achieved; 1-year OS rate is 72.4% that is significantly higher than historical reference CS1001 was well-tolerated in patients with rr-ENKTL with only 9.4% Grade 3
- and above TRAE and no treatment-related death reported
- The promising safety and efficacy data presented suggest that CS1001 could be an effective treatment for rr-ENKTL patients

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DISCLOSURES

- HUANG, Huiqiang; TAO, Rong; ZOU, Liqun; GUO, Ye; ZHOU, Hui; ZHANG, Liling; HUANG, Yunhong; QIAN, Wenbin; CEN, Hong; YANG, Yu; YANG, Haiyan
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- ZHU, Dan; ZHU, Xiaoli; FANG, Teng; DAI, Hangjun; SONG, Tinghua; SHI, Qingmei; YANG, Jianxin They are employees of CStone Pharmaceuticals

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Contact corresponding author: ClinicalDevelopment@cstonepharma.com

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