

GEMSTONE-301: A Randomized, Doubleblind, Placebo-controlled, Phase 3 Study of Sugemalimab in Patients With Unresectable Stage III Non-Small Cell Lung Cancer Without Progression After Concurrent or Sequential Chemoradiotherapy

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DECLARATION OF INTERESTS

Y.-L.W. reports advisory services for AstraZeneca, Boehringer Ingelheim, Novartis, Takeda; personal fees from AstraZeneca, Beigene, Boehringer Ingelheim, BMS, Eli Lilly, MSD, Pfizer, Roche, Sanofi; grants from AstraZeneca, Boehringer Ingelheim, BMS, Hengrui, and Roche, outside the submitted work.

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All other authors declare no competing interests.



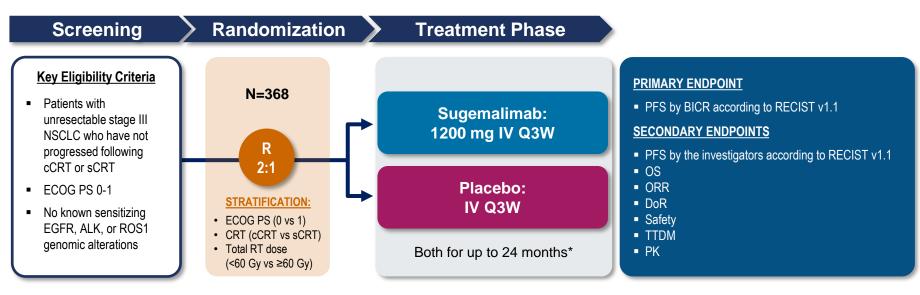
Introduction

- Patients with stage III NSCLC represent a heterogeneous population. For those with unresectable disease, concurrent chemoradiotherapy (cCRT) followed by an immune checkpoint inhibitor is the standard of care^{1,2}
- However, cCRT is associated with significant toxicity and treatment-related mortality^{3,4}
 - Patient comorbidities and lack of access to cCRT in certain areas often limit its use in the real-world setting
 - Observational data indicate a 30-55% utilization rate for cCRT globally⁵⁻⁸
- Sequential CRT (sCRT) is a widely used alternative in a large subset of patients who cannot tolerate or access cCRT; thus, there remains a high unmet need to improve outcomes for patients without disease progression following sCRT
- Sugemalimab is a full-length, fully human immunoglobulin G4 (s228p) monoclonal antibody that targets PD-L1
 - Sugemalimab plus chemotherapy demonstrated a statistically significant and clinically meaningful improvement in progression-free survival (PFS) compared with chemotherapy in patients with metastatic NSCLC (GEMSTONE-302 study)
- GEMSTONE-301 (NCT03728556) is a randomized, phase 3 trial comparing sugemalimab with placebo as a consolidation treatment in patients with unresectable stage III NSCLC without progression after cCRT or sCRT
 - This is the first phase 3 trial evaluating an anti–PD-1/PD-L1 agent in both populations in this setting



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GEMSTONE-301 Study Design



Statistical Considerations

- PFS is tested first at a two-sided alpha of 0.05; if PFS is significant, then OS would be tested at a two-sided alpha of 0.05
- Interim and final PFS analysis were planned when approximately 194 and 262 PFS events occurred, respectively. O'Brien-Fleming method was
 used to control the type I error
- Interim and final OS analysis were planned when approximately 175 and 260 OS events occurred, respectively.



*First dose administered within 1–42 days after cCRT or sCRT (including at least 2 cycles of platinum-based chemotherapy) was completed. BICR, blinded independent central review; cCRT, concurrent chemoradiotherapy; DoR, duration of response; ECOG PS, Eastern Cooperative Oncology Group performance status; IV, intravenous administration; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; PK, pharmacokinetics; RT, radiotherapy; sCRT, sequential chemoradiotherapy; TTDM, time to death/distant metastasis

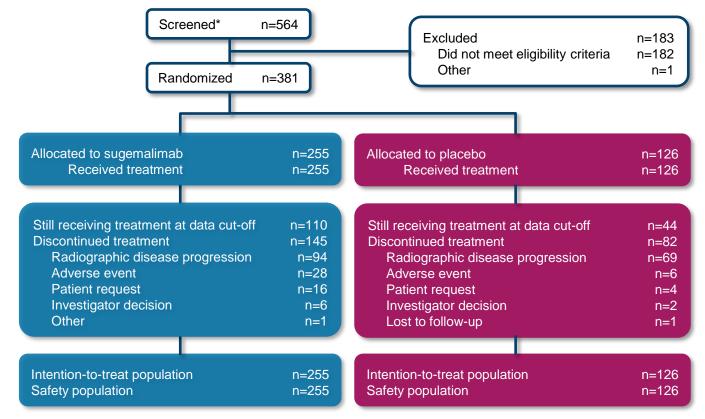
GEMSTONE-301 VS PACIFIC

	GEMSTONE-301	PACIFIC ¹
Patient area	China	Non-China
Prior CRT	cCRT or sCRT	cCRT only
Treatment period	24 months*	12 months
EGFR/ALK/ROS1	Exclude EGFR/ALK/ROS1+	Not exclude EGFR/ALK/ROS1+
Disease Stage	IIIA: 29%	IIIA: 53%
Histology	SCC:69%	SCC:46%



*if subject can benefit from sugemalimab, treatment period can extend SCC, Squamous cell carcinoma ¹Antonia SJ, et al. N Engl J Med 2017;377:1919–29

Patient Disposition





*Between October 2018 and December 2020, 564 patients were screened at 50 sites in China.

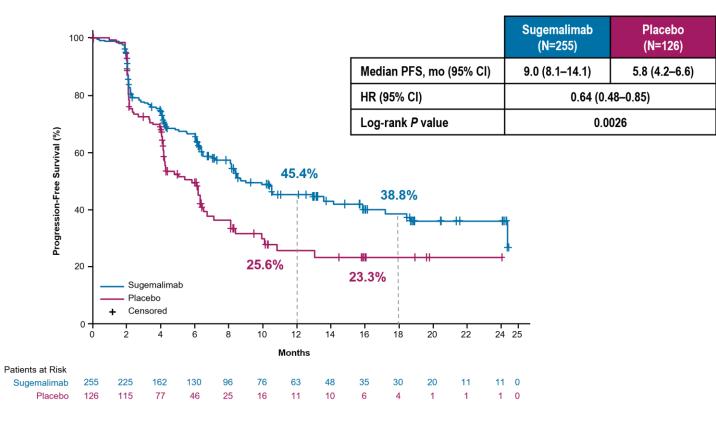
Baseline Characteristics

	Sugemalimab (N=255)	Placebo (N=126)		Sugemalimab (N=255)	Placebo (N=126)
Sex			Pathologic type*		
Male	93%	91%	Squamous cell carcinoma	69%	68%
Female	7%	9%	Nonsquamous cell carcinoma	30%	32%
Age, years – median (range)	61 (46–78)	60 (42–73)	CRT type		
Age ≥65 years	29%	25%	Sequential	34%	33%
Smoking history			Concurrent	66%	67%
Never	16%	13%	Disease stage [#]		
Former or current	84%	87%	IIIA	29%	25%
ECOG performance status			ШВ	57%	52%
0	31%	30%	IIIC	13%	22%
1	69%	70%	Best response to CRT		
Radiotherapy dose			Complete response	2%	2%
<60 Gy	17%	16%	Partial response	67%	61%
≥60 Gy	83%	84%	Stable disease	31%	37%



⁵ Two patients in the sugemalimab group had NSCLC not otherwise specified and NSCLC poorly differentiated carcinoma. #Staged according to the International Association for the Study of Lung Cancer [IASLC] classification, version 8.

PFS by BICR





Interim PFS analysis (reviewed by iDMC) with median follow-up of 14 months; observed 197 PFS events with two-sided alpha of 0.0195

Subgroup Analyses of PFS

	-	Sugemalimab No. patients	Placebo No. patients	Hazard Ratio (95% CI)*		
All	patients	255	126	0.64 (0.48–0.85)	⊢₩→	
Se	x Male Female	236 19	115 11	0.61 (0.45–0.82) 1.40 (0.55–3.57)		4
Ag	e <65 years ≥65 years	182 73	94 32	0.75 (0.52–1.06) 0.40 (0.23–0.67)	⊢∎→	
Sm	noking history Never Former or current	42 213	16 110	0.44 (0.20–0.96) 0.67 (0.49–0.92)		_
EC	OG PS 0 1	78 177	38 88	0.47 (0.26–0.86) 0.71 (0.51–0.99)	┝──╋╌┥	
CR	t type Sequential Concurrent	86 169	41 85	0.59 (0.39–0.91) 0.66 (0.44–0.99)	⊢∎⊢₁ ⊢∎⊢↓	Stratification factors
Ra	diotherapy dose <60 Gy ≥60 Gy	43 212	20 106	0.55 (0.27–1.12) 0.66 (0.48–0.90)		
Ca	ncer stage [#] before CRT Stage IIIA Stage IIIB Stage IIIC	74 146 33	32 65 28	0.74 (0.41–1.34) 0.55 (0.37–0.81) 0.73 (0.36–1.48)		_
Pa	thologic type Squamous cell carcinoma Nonsquamous cell carcinon		86 40	0.57 (0.41–0.80) 0.77 (0.42–1.40)		
gress	*Stratified for all patients, unstrati			0.1	0.5 1 3	5 7 9

Sugemalimab Better

Placebo Better

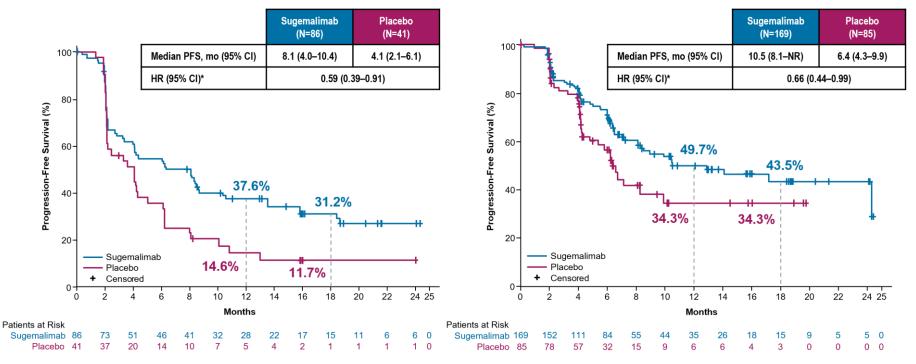
#Staged according to the IASLC classification, version 8.

2021

PFS by CRT Type

Sequential CRT

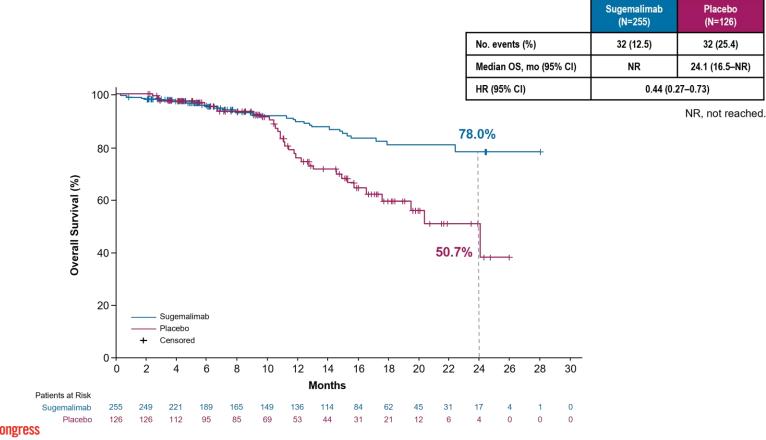
Concurrent CRT





*Unstratified HR.

Preliminary Analysis of OS*



*OS data were immature at the data cutoff date.

2021

Treatment Emergent Adverse Events

	Sugemalimab (N=255)	Placebo (N=126)
Treatment Emergent Adverse Events (TEAE)	246 (96.5%)	116 (92.1%)
Grade 3-5 TEAE	62 (24.3%)	30 (23.8%)
Treatment-related TEAE (TRAE)	193 (75.7%)	73 (57.9%)
Grade 3-5 TRAE	26 (10.2%)	7 (5.6%)
Immune-related adverse events (irAE)	109 (42.7%)	17 (13.5%)
Grade 3-5 irAE	12 (4.7%)	1 (0.8%)
Infusion-related reaction	1 (0.4%)	2 (1.6%)
TEAE leading to drug permanently discontinued	29 (11.4%)	6 (4.8%)
TEAE leading to treatment cycle delay	82 (32.2%)	31 (24.6%)
TEAE leading to death	10 (3.9%)	3 (2.4%)

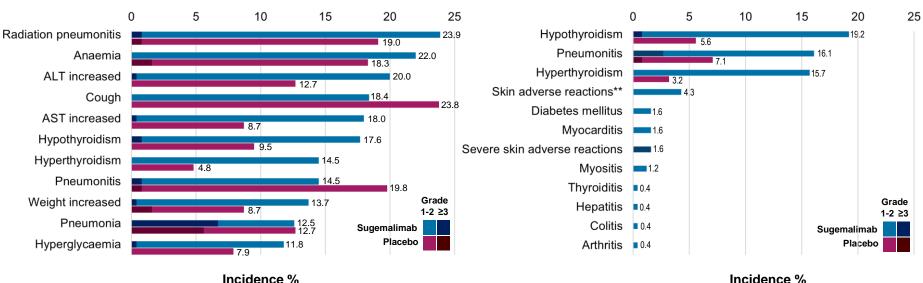


TEAE, treatment-emergent adverse event.

*Adverse events of special interest were sponsor-assessed immune-related adverse events, which were defined based on a list of preferred categories of terms specified by the sponsor.

Data cutoff date: March 8, 2021

TEAEs and irAEs



TEAEs occurred in $\geq 10\%$ Patients

Incidence %

irAE



ALT, alanine aminotransferase; AST, aspartate aminotransferase; irAE, immune-related adverse event; TEAE, treatment-emergent adverse event. *Adverse events of special interest were sponsor-assessed immune-related adverse events, which were defined based on a list of preferred categories of terms specified by the sponsor. **Excluding severe events. Data cutoff date: March 8, 2021

Summary/Conclusions

- At this pre-planned interim analysis, a statistically significant and clinically meaningful improvement in PFS was observed with sugemalimab vs. placebo among patients with unresectable stage III NSCLC who had not progressed following cCRT or sCRT
 - BICR assessed mPFS: 9.0 vs 5.8 months, stratified HR= 0.64
 - sCRT subgroup mPFS: 8.1 vs 4.1 months, unstratified HR=0.59
 - cCRT subgroup mPFS: 10.5 vs 6.4 months, unstratified HR=0.66
- OS data were immature, but an encouraging trend for a survival benefit with sugemalimab vs. placebo was observed. Follow-up of the patients is ongoing
 - mOS: NR vs 24.1 months, stratified HR=0.44
- Sugemalimab had a well-tolerated safety profile and no new safety signals were observed, consistent with the safety profile previously reported for sugemalimab monotherapy in NSCLC
- The results of the GEMSTONE-301 study suggest that sugemalimab is an effective consolidation therapy for patients with unresectable stage III NSCLC who have not progressed following cCRT or sCRT



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